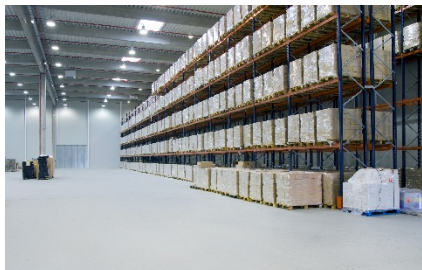


Lighting Controls Application

FY19 July 1, 2018 – June 30, 2019



MEASURE DESCRIPTION



Incentives are available for the installation of new lighting controls. The control incentive categories include on/off occupancy-based systems (remote/wall/fixture-mounted), occupancy-based continuous and step dimming systems along with daylight harvesting controls. Customers may submit this application in conjunction with a Prescriptive Lighting (existing buildings only) or Performance Lighting (major renovation/new construction) to obtain incentive for new fixture or retrofits lighting installations. Incentive rates are pre-determined based on the size and efficiency of new equipment.

Pre-approval is required prior to installation in order to remain eligible for the incentive.

INSTRUCTIONS FOR PRE-APPROVAL

1. **New to SmartStart?** Download the [SmartStart Program Guide](#) or contact us at (866) NJSMART with questions.
2. **Pre-approval is required** for Lighting Controls applications.
3. Ensure that the facility is eligible for participation in the program by reviewing a recent electric utility bill to confirm that Societal Benefits Charges are paid to an investor-owned utility under a commercial rate code.
4. Review all measure requirements to confirm that equipment you are purchasing eligible for an incentive. Identify the measure code associated with each proposed control type. If you need assistance with development of a scope of work or do not know what type of controls equipment you need, consult the [Trade Ally List](#) to find a contractor who can assist you.
5. Complete the Lighting Controls application and incentive worksheet. If additional space is needed, please complete the Lighting Controls Excel calculation worksheet.
6. Submit the signed, completed form along with all supporting documentation listed in the Application Checklist to the program for review and pre-approval through one of the methods below. An electronic version of this application is available through the online application portal. Please submit the application through a single method (online, email, mail, or fax).
7. We will review the submittal for completeness and contact you and your contractor via email to retrieve any missing information or documentation.
8. An approval letter will be emailed to you and your contractor indicating the approval date and estimated incentive amount set aside for your project.

Online application portal:	njcleanenergy.com/forms
Email for new application submissions only. Call (866) NJSMART with questions.	NJApps@njcleanenergy.com
Mail:	New Jersey's Clean Energy Program c/o TRC Energy Services 900 Route 9 North Suite 404 Woodbridge, NJ 07095
Fax:	(732) 855-0422

APPLICATION CHECKLIST FOR PRE-APPROVAL

The following documentation must be attached to all completed, signed applications:

Completed [Lighting Controls incentive calculation worksheet](#)

A complete copy (all pages) of a recent electric bill from a NJ utility which lists the required Societal Benefits Charge with customer name matching the name listed on the application.

For new construction projects where a utility account has not yet been established, the utility bill is not required with the initial application submission, however the customer will be required to submit a utility bill prior to incentive payment.

Manufacturer's specification sheets for proposed sensors

Manufacturer's specification sheets for fixtures to be controlled by the sensors, including proof of Qualified Product Listing where applicable (e.g. DLC listing for LEDs).

APPLICATION PROCESS FOR PAYMENT

All Lighting Controls applications must be submitted to the program for review and receive pre-approval before work begins in order to remain eligible for the incentive. After project completion, please be advised that the following documentation will be required in order to issue payment.

You will be advised in the form of an emailed approval letter that your project has been approved and the incentive amount that has been set aside for your project. Instructions for where to submit this documentation will be provided directly on the project approval letter. A post-installation inspection may occur prior to approval of the payment.

1. If the project "as built" is different than what was approved, please include an explanation and revised documentation as appropriate (e.g. manufacturer specification sheets, revised application worksheets, etc.). Please be aware, any work not pre-approved by the program will be ineligible for incentives. Changes to equipment manufacturer/model are permitted with accompanying documentation confirming the equipment meets the program requirements. Increases in equipment counts within a specific area or overall will not be eligible for incentives unless pre-approved by the program before installation.
2. Material invoice
 - The invoice should include the model number of the equipment installed, quantity, and unit price.
 - Equipment may be purchased up to one year prior to TRC's receipt of the application.
3. Labor Invoice
 - Labor/installation price must be listed separately from material price.
 - For projects installed by in-house staff for which there is no invoice, provide a signed letter on customer letterhead clearly indicating the start and end dates of the self-installation.
4. Tax Clearance Certificate obtained from NJ Division of Taxation
 - Instructions on applying for the certificate can be found at www.njcleanenergy.com/TCC.
 - No incentive will be paid without receipt of a valid Tax Clearance Certificate. Certificates are valid for 180 days and must be valid on the date TRC signs off on the incentive. The name of the customer and tax ID number appearing on the tax clearance must align with the customer name listed on the provided utility bill and application.

General Lighting Controls Program Requirements

1. All lighting controls must be listed by UL or other OSHA approved Nationally Recognized Testing Laboratory (NRTL) in accordance with applicable US standards.
2. All lighting controls measure types on this application are eligible for installation on interior light fixtures only. Exterior lighting controls should be submitted under the Custom Electric/Gas application for consideration.
3. Lighting control incentives are only available for controlling eligible, energy efficient, lighting fixtures that meet the Prescriptive Lighting program requirements. Refer to the Prescriptive Lighting application for a list of eligible controlled fixture types.
4. Both hard wired and wireless lighting controls qualify.
5. There is no incentive available for occupancy sensors installed in a space where they are prohibited by state or local building or safety code.
6. Occupancy sensors with manual override to the "ON" position are ineligible for incentive.
7. For new construction projects proposing Daylight Dimming or High-Low Occupancy Controls, projects will not be eligible for incentives unless permitted under former ASHRAE 90.1-2007 code or exceeding code requirement under ASHRAE 90.1-2013. Please provide this documentation with your application submission.
8. For the purpose of determining controlled wattage per sensor, LED fixture or lamp wattages as rated by DLC or EnergyStar should be used on the incentive worksheet.
9. If more than one eligible lighting control device is associated with the same eligible fixture, the incentive paid will be for the lighting control device that yields the largest incentive only.

Specific Program Requirements and Incentives

Occupancy Sensor Wall Mounted (OSW):

Control Device Type	Measure Code	Incentive Per Unit
OSW - Occupancy Sensor Wall Mounted (<i>Existing facilities only</i>)	LC1	\$20 per control

1. Incentive is available for existing buildings only. New construction projects are not eligible.
2. Incentive is not available in the following specific locations, in all cases: stairways, restrooms, elevators, corridors/hallways, lobbies and closets/storage areas.
3. **OSW** sensors must each control at least (2) eligible fixtures meeting requirement #3 above

Occupancy Sensor - Remote Mounted (OSR) and Occupancy Sensor – Remote Mounted High-Bay (OSRH):

Control Device Type	Measure Code	Incentive Per Unit
OSR - Occupancy Sensor Remote Mounted (<i>Existing facilities only</i>)	LC2	\$35 per control
OSRH - Occupancy Sensor Fixture Mounted High-Bay (<i>Existing facilities only</i>)	LC5	\$35 per control

1. Incentive is available for existing buildings only. New construction projects are not eligible.
2. **OSRH** sensors must each control at least one (1) eligible fixture meeting requirement #3 above and a minimum connected load of at least 180 watts.
3. **OSR** sensors must each control at least (2) eligible lighting fixtures meeting requirement #3 above and a minimum connected load of 180 watts.
4. Incentive is not available in the following specific locations, in all cases: stairways, elevators, corridors/hallways, lobbies and closets/storage areas.

Occupancy High-Low Controls (OHLC):

Control Device Type	Measure Code	Incentive Per Unit
OHLC - Occupancy-Based High-Low Dimming Control	LC4	\$35 per fixture controlled

1. Incentives will not be paid for high- low controls on eligible fixtures where daylight dimming controls can be effectively employed.
2. Incentives will not be paid for spaces smaller than 250 square feet.
3. Incentives available only when “low level” is no more than 60% of “high level.”
4. Incentives are not available for the following spaces, in all cases: stairways, elevators, corridors/hallways, or lobbies.
5. **OHLC** sensors must each control eligible lighting fixtures meeting requirement #3 above and a minimum connected load of 240 watts.
6. For T8 4' systems, dimming ballasts must be qualified by CEE to qualify.

Daylight Dimming Controls (DDC):

Control Device Type	Measure Code	Incentive Per Unit
DDC - Daylight Dimming Controls	LC3	\$45 per fixture controlled

1. **DDC** sensors must each control at least (4) eligible lighting fixtures meeting requirement #3 above and a minimum connected load of 240 watts.
2. Dimming shall be continuous or stepped at (4) or more levels.
3. Incentives will be paid only for eligible daylight dimming control systems designed in accordance with IESNA practice as delineated in “RP-5-99, IESNA Recommended Practice of Daylighting.”
4. For T8 4' systems, dimming ballasts must be qualified by CEE to qualify.

Lighting Controls Application

FY19 July 1, 2018 – June 30, 2019



For Office Use Only

Date: _____

App #: _____

CUSTOMER INFORMATION

Company Name as listed on utility bill

Formal Legal Name of Company listed on utility bill

Company Type

Federal Tax ID #

State Tax ID # (if different)

Customer Contact Name

Customer Contact Title

Customer Phone Number

Customer Mailing Address

Suite/Apt#

City

State

ZIP

Customer Contact Email Address

How did you hear about the program?

[NAICS Code](#)

FACILITY AND PROJECT INFORMATION

Facility Address

City

State

ZIP

Electric Utility Serving Facility

Electric Account Number

Facility Type

Anticipated Installation Start Date

Site Contact for Inspection Scheduling (Name/Title) *

Site Contact Phone

**On-site contact will be notified via email of any proposed inspection date/time.*

Site Contact Email Address

CONTRACTOR/VENDOR INFORMATION

Contractor Company Name

Contact Person Name and Title

Email Address

Phone Number

Contractor Mailing Address

Apt/Suite #

City

State

ZIP

PAYEE INFORMATION

Payee Company Name _____ Contact Name and Title _____

Email Address _____ Phone Number _____

Incentive Check Mailing Address _____ Apt/Suite # _____ City _____ State _____ ZIP _____

Payee Federal Tax ID _____

ESIP DECLARATION

Check this box if an Energy Savings Improvement Program (ESIP) is a source of funding. ESIP allows government agencies to pay for energy related improvements using the value of the resulting energy savings.

CUSTOMER AUTHORIZATION AND SIGNATURE

I agree to the terms and conditions of the SmartStart Buildings Program and the Specific Program Requirements for this measure. I understand that pre-approval is required prior to installation of Lighting Controls measures in order to remain eligible for the incentive.

I agree that this document and all notices and disclosures made or given relating to this document may be created, executed, delivered and retained electronically and that the electronic signatures appearing on this document and any related documents shall have the same legal effect for all purposes as a handwritten signature.

The information, statements, and documents I have provided in and with this document are true and accurate to the best of my knowledge. I am aware that if any of them are willfully false, I am subject to punishment.

If applicable, I authorize payment of the incentive to the third party listed in the Payee Information field of this application.

Customer Signature _____ Date _____

Printed Name _____ Title _____

NJ SmartStart Buildings® Program Terms and Conditions FY2019 (July 1, 2018 – June 30, 2019)

Eligibility

1. This application package must be received by the Program Manager on or before June 30, 2019 in order to be eligible for the fiscal year program (July 1, 2018 - June 30, 2019) incentives. All participating customers are required to submit the most current application form. All submissions will be reviewed based on the current program requirements and incentive levels approved by the Board of Public Utilities.
2. Program Incentives are available to non-residential retail electric and/or gas service participating customers of a New Jersey Utilities. A participating customer must pay Societal Benefits Charges under a New Jersey Utility account serving the fuel type (electric or gas) applicable to the specific Energy-Efficient Measure. Participating customers who have not contributed to the Societal Benefits Charge of the applicable New Jersey Utility are not eligible for incentives offered through this program. New Jersey Utilities include Atlantic City Electric, Jersey Central Power & Light, Rockland Electric Company, New Jersey Natural Gas, Elizabethtown Gas, PSE&G and South Jersey Gas.
3. Each utility account requires a complete, separate application. Projects for the same utility account and the same technology being done at the same time should be submitted on one application.
4. For all Prescriptive measures with the exception of Prescriptive & Performance Lighting, Lighting Controls and Custom measures, pre-approval is not required prior to installation, however any participating customer and/or agent who purchases and installs equipment without Program Manager approval does so at his/her own risk.
5. For completed projects that do not require program pre-approval (excluding Prescriptive Lighting, Prescriptive Lighting Controls, Performance Lighting and Custom Measures) the application must be submitted to the Program Manager within 12 months of equipment purchase. Sufficient documentation must be provided confirming the date of equipment purchase (material invoice, purchase order, etc.).
6. In order to be eligible for program incentives, a participating customer, or an agent (contractor/vendor) authorized by a participating customer, must submit a properly completed application package that is signed by the participating customer. A complete application package should include all documentation listed in the checklist section of the application.
7. Project invoices submitted after installation should list both the labor and material costs separately. The invoice should include a description of the equipment installed, quantity, and unit price. For projects that are self-installed by the participating customer, a signed letter on participating customer letterhead may be provided in lieu of a labor invoice attesting to the start and end dates of the self-installation.
8. Energy-Efficient Measures must be installed in buildings located within a New Jersey Utilities' service territory and designated on the participating customer's incentive application.
9. Program Incentives are available for qualified Energy-Efficient Measures as listed and described in the Program materials and incentive applications.
10. The participating customer must ultimately own the equipment through an up-front purchase. Equipment procured by participating customers through another program offered by New Jersey's Clean Energy Program or the New Jersey Utilities, as applicable, are not eligible for incentives through this program.
11. Incomplete application submissions, applications requiring inspections and unanticipated periods of high volume may cause processing delays.

Incentive Amounts

1. Program Incentives will not exceed:
 - a. the approved Program Incentive amount, or
 - b. total project cost of the Energy-Efficient Measure, whichever is less, excluding NJ state sales tax as determined by the Program Manager. Project costs include the expenses directly associated with the Energy-Efficient Measure.
2. Products offered at no direct cost to the participating customer are ineligible.
3. Program Incentives are limited to \$500,000 per utility account in a fiscal year.

Inspections

1. The Program Manager reserves the right to conduct a pre-inspection of the facility prior to the installation of equipment for Prescriptive Lighting, Performance Lighting, Lighting Controls and Custom Measures applications. Pre-inspections will be performed prior to the issuance of the approval letter. Work must not begin prior to formal program approval.
2. All projects are subject to post-inspection to confirm equipment installation prior to payment.
3. The Program Manager reserves the right to verify sales transactions and to have reasonable access to Participating Customer's facility to inspect pre-existing product or equipment (if applicable) and the Energy-Efficient Measures installed under this Program, prior to issuing incentives or at a later time.
4. The applicant allows reasonable access to the property to inspect the installation and performance of the technologies and installations that are eligible for incentives under the guidelines of New Jersey's Clean Energy Program.

Tax Clearance Certificate Requirements

1. Participating customers must provide a Tax Clearance Form (entitled "Business Assistance or Incentive Clearance Certificate") for Board of Public Utilities use.
2. The name of the customer listed on the certificate must match the participating customer name listed on the utility bill and the application.
3. The participating customer tax ID listed on the application must agree with the tax ID listed on the Certificate.
4. Certificates are valid for 180 days and must be valid on the date the Program Manager signs off on the incentive.

Deficient Applications

1. If an application package is incomplete, information is missing or deemed insufficient, a deficiency notice will be sent to the participating customer requesting additional information via e-mail. The information or documentation requested on the letter must be received by the Program Manager within 30 days of the date of the request. If additional deficiencies are still noted, there will be up to two additional notifications issued with the same time frames.
2. If a participating customer fails to respond to a deficiency request within 30 days or exceeds the three attempts provided, the application will be cancelled. If a project is cancelled, participating customers may re-apply under the program incentives and requirements in place at that time.

Expirations

1. Pre-approved projects are given a one year approval in which the proposed measure is to be installed and operational. When a project has expired the participating customer will have 30 days to either submit a request for an extension OR submit final project paperwork. If no response is received within 30 days of expiration, the project will be cancelled.
2. Extension requests must be in writing from the participating customer and include the circumstances that led to the extension request, and the percentage of the project completed.
3. Extension requests may be granted for a period no longer than six (6) months. The Program Manager may provide up to two, six month extensions from the original approval expiration date.
4. Upon expiration, if the project has not started and the participating customer is still interested in installing the equipment, the existing application will be cancelled and a new application package must be submitted, which will be reviewed under the program incentives and requirements in place at that time.

Change in participating customer name/payee after pre-approval

To initiate a change to the participating customer name or payee on a pre-approved application, the following documentation must be provided:

1. A signed letter on participating customer letterhead authorizing the change
2. A new signed application reflecting the updated participating customer or payee name
3. For name change - a utility bill in the name of the new participating customer

All name changes requests are subject to Program Manager approval. Certain requests may require additional information to be submitted as defined by the Program Manager.

Tax Liability

The Program Manager will not be responsible for any tax liability that may be imposed on any participating customer as a result of the payment of Program Incentives. All Participating Customers must supply their federal tax identification number or social security number to the Program Manager on the application form in order to receive a Program Incentive.

Prevailing Wage

Projects with a contract threshold of \$15,444 are required to pay no less than prevailing wage rate to workers employed in the performance of any construction undertaken in connection with Board of Public Utilities financial assistance, or undertaken to fulfill any condition of receiving Board of Public Utilities financial assistance, including the performance of any contract to construct, renovate or otherwise prepare a facility, the operations of which are necessary for the receipt of Board of Public Utilities financial assistance. By submitting an application, or accepting program incentives, applicant agrees to adhere to New Jersey Prevailing Wage requirements, as applicable.

Endorsement

The Program Manager and Administrator do not endorse, support or recommend any particular manufacturer, product or system design in promoting this Program.

Warranties

THE PROGRAM MANAGER AND ADMINISTRATOR DO NOT WARRANT THE PERFORMANCE OF INSTALLED EQUIPMENT, AND/OR SERVICES RENDERED AS PART OF THIS PROGRAM, EITHER EXPRESSLY OR IMPLICITLY. NO WARRANTIES OR REPRESENTATIONS OF ANY KIND, WHETHER STATUTORY, EXPRESSED, OR IMPLIED, INCLUDING, WITHOUT LIMITATIONS, WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE REGARDING EQUIPMENT OR SERVICES PROVIDED BY A MANUFACTURER OR VENDOR. CONTACT YOUR VENDOR/SERVICES PROVIDER FOR DETAILS REGARDING PERFORMANCE AND WARRANTIES.

Limitation of Liability

By virtue of participating in this Program, Participating Customers agree to waive any and all claims or damages against the Program Manager or the Administrator, except the receipt of the Program Incentive. Participating Customers agree that the Program Manager's and Administrator's liability, in connection with this Program, is limited to paying the Program Incentive specified. Under no circumstances shall the Program Manager, its representatives, or subcontractors, or the Administrator, be liable for any lost profits, special, punitive, consequential or incidental damages or for any other damages or claims connected with or resulting from participation in this Program. Further, any liability attributed to the Program Manager under this Program shall be individual, and not joint and/or several.

Termination

The New Jersey Board of Public Utilities reserves the right to extend, modify (this includes modification of Program Incentive levels) or terminate this Program without prior or further notice.

Participating Customer's Certification

Participating Customer certifies that he/she purchased and installed the equipment listed in their application at their defined New Jersey location. Participating Customer agrees that all information is true and that he/she has conformed to all of the Program and equipment requirements listed in the application.

Acknowledgement

The applicant gives the Program Manager permission to share records with the New Jersey Board of Public Utilities, and contractors it selects to manage, coordinate or evaluate the New Jersey SmartStart Buildings Program including the release of electric and natural gas utility billing information, as well as make available to the public non-sensitive information.

Definitions:

Energy-Efficient Measures – Any device eligible to receive a Program Incentive payment through the NJ Clean Energy Commercial and Industrial Program (New Jersey SmartStart Buildings).

New Jersey Utilities – The regulated electric and/or gas utilities in the State of New Jersey:

1. Atlantic City Electric
2. Jersey Central Power & Light
3. Rockland Electric Company
4. New Jersey Natural Gas
5. Elizabethtown Gas
6. PSE&G
7. South Jersey Gas

Administrator – New Jersey Board of Public Utilities, Office of Clean Energy.

Participating Customers – Those non-residential electric and/or gas service customers of the New Jersey Utilities who participate in this Program.

Product Installation or Equipment Installation – Installation of the Energy-Efficient Measures.

Program – The Commercial and Industrial Energy-Efficient Construction Program (New Jersey SmartStart Buildings) offered herein by the New Jersey Board of Public Utilities, Office of Clean Energy pursuant to state regulatory approval under the New Jersey Electric Discount and Energy Competition Act, NJS 48:3-49, et seq.

Program Incentives – Refers to the amount or level of incentive that the Program provides to Participating Customers pursuant to the Program offered herein (see description under "Incentive Amount" heading).

Program Manager – TRC Energy Services.