SmartStart Buildings Program

Electric Unitary HVAC Application

FY21 February 2, 2021 – June 30, 2021



MEASURE DESCRIPTION



Electric Unitary HVAC incentives are available for the installation of various types of high- efficiency equipment including split, package, central DX, heat pump, and PTAC systems. Incentives are also provided for occupancy-controlled thermostats and A/C economizing controls. Both replacement and new construction projects are eligible to apply. Incentive rates are pre-determined based on the size and efficiency of new equipment. Pre- approval is not required prior to installation however, applications must be submitted within 1 year of material purchase to remain eligible for the incentive.

APPLICATION INSTRUCTIONS

- 1. New to SmartStart? Download the SmartStart Program Guide or contact us at (866) NJSMART with questions.
- 2. Pre-approval is not required for Electric Unitary HVAC applications; however, applications must be submitted within 1 year of material purchase to remain eligible for the incentive. Customers may submit applications prior to installation to receive an incentive commitment letter. Applicants choosing to install prior to program pre-approval do so at their own risk.
- 3. Ensure that the facility is eligible for participation in the program by reviewing a recent electric utility bill to confirm that Societal Benefits Charges are paid to an investor-owned utility under a commercial rate code.
- 4. Review all measure requirements to confirm that equipment you are purchasing meets efficiency requirements and is eligible for an incentive.
- 5. If you need assistance with development of a scope of work or do not know what type of equipment you need, consult the Trade Ally List to find a contractor who can assist you.
- 6. You or your contractor must complete the Electric Unitary HVAC application and the Excel incentive worksheet.
- 7. Submit the signed completed form along with all supporting documentation listed in the Application Checklist to the program for review. An electronic version of this application is available through the online application portal. Please submit the application through a single method (online, email, mail, or fax).
- 8. We will review the submittal for completeness and contact you and your contractor (if listed) via email to retrieve any missing information or documentation.
- 9. An approval letter will be emailed to you and your contractor (if listed) indicating the approval date and estimated incentive amount set aside for your project.

Online application portal: njcleanenergy.com/forms

Email for new application submissions only. Call (866) NJSMART with questions.

NJApps@njcleanenergy.com

Mail: New Jersey's Clean Energy Program

c/o TRC

900 Route 9 North Suite 404

Woodbridge, NJ 07095

Fax: (732) 855-0422

FY21_Electric Unitary HVAC 01 1 of 10

ENHANCED INCENTIVES



Measure incentive rates listed on this form are doubled for equipment installed in existing buildings that meet at least one of the eligibility criteria listed below.

All projects are subject to an incentive cap equal to the applicant's cost for the project (material and labor). Enhanced incentives do not apply to new construction projects or lighting projects of any kind. To qualify for an enhanced incentive, documentation, as listed in the table below, must be provided with the application package demonstrating that the entity or building location meets at least one of the eligibility categories.

Eli	gibility Basis	Criteria
>	Located in an Urban Enterprise	The building where equipment is or will be installed must be located within the bounds of an Urban Enterprise Zone (UEZ). Please follow the steps below to confirm your facility is within the qualifying zone.
	Zone (UEZ)	The building location must be checked against the NJ Community Asset Map.
		 Enter the address of your building in the field at the top of the map. Under the Layers menu on the left side of the screen, scroll down to Urban Enterprise Zones and <i>check</i> to enable the layer. Print or save a screenshot of the page to include with your submission.
		For the avoidance of doubt, companies do not need to become a Certified UEZ Business to be eligible for enhanced incentives from NJCEP.
>	Located in an Opportunity	The building where equipment is or will be installed must be located within the bounds of an Opportunity Zone (OZ). Please follow the steps below to confirm your facility is within the qualifying zone.
	Zone (OZ)	The building location must be checked against the NJ Community Asset Map.
		 Enter the address of your building in the field at the top of the map. Under the Layers menu on the left side of the screen, scroll down to Opportunity Zones and <i>check</i> to enable the layer.
		3. Print or save a screenshot of the page to include with your submission.
>	Affordable Housing	Any multifamily housing that an official document identifies as participating in a federal, state, or local affordable housing program. This includes, by way of example only, the New Jersey Department of Community Affairs listing of Affordable Housing available here https://www.state.nj.us/dca/divisions/codes/publications/developments.html
		as well as official documents showing identification by the documents regarding New Jersey Housing and Mortgage Finance Agency, United States Low Income Housing Tax Credit (LIHTC), and United States Housing and Urban Development (HUD).
A	Owned or operated by a Municipal Entity	The building must be owned or operated by a Municipal Entity as evidenced by the name listed on the utility bill(s) for the building. If the name as shown on the utility bill(s) does not clearly delineate a Municipal Entity, other documentation may be accepted to demonstrate ownership on a case-by-case basis. Please contact the Program Manager for specific guidance.
		The Municipal Entity name must be recognized on the New Jersey Municipalities Search tool available at: https://www.nj.gov/nj/gov/direct/municipality.html or be listed here: https://nj.gov/comptroller/news/docs/authoritiescommission.pdf .
A	Owned or operated by a County Entity	The building must be owned or operated by a County Entity as evidenced by the name listed on the utility bill(s) for the building. If the name as shown on the utility bill(s) does not clearly delineate a County Entity, other documentation may be accepted to demonstrate ownership on a case-by-case basis. Please contact the Program Manager for specific guidance.
		Enhanced incentives for Counties apply to:
		Buildings owned or operated by one of the counties listed here:
		https://www.state.nj.us/nj/gov/county/counties.html This includes, among other things, buildings owned or operated by any "community college" listed here: https://www.nj.gov/highereducation/colleges/schools_sector.shtml And authorities and commissions listed here:
		https://nj.gov/comptroller/news/docs/authoritiescommission.pdf
\	Owned or operated by K-12 Public School	The building must be owned or operated by a K-12 Public School as evidenced by the name listed on the utility bill(s) for the building. If the name as shown on the utility bill(s) do not clearly delineate a K-12 Public School, other documentation may be accepted to demonstrate ownership on a case-by-case basis. Please contact the Program Manager for specific guidance.
		The K-12 Public School name must be recognized on the New Jersey School Directory available at: https://homeroom5.doe.state.nj.us/directory/pub.php

FY21_Electric Unitary HVAC 01 2 of 10

APPLICATION CHECKLIST

	lications must be received within 1 year of material purchase. Applicants not required to receive pre-approval may ose to install at their own risk.
	For projects requesting enhanced incentives: Attach documentation demonstrating eligibility as described in the Enhanced Incentives section of this application.
	Excel <u>Electric Unitary HVAC measure worksheet</u> as provided on the NJ Clean Energy SmartStart program website.
	A recent copy of a full utility bill from a participating electric utility showing Societal Benefits Charge and commercial billing code.
	 Name and account # of the customer listed on the application must match the name of the customer and account # listed on the utility bill.
	 For projects where a utility account has not yet been established, the utility bill is not required with the initial application submission, however the customer will be required to submit a utility bill prior to incentive payment.
	An AHRI Certificate is required for new electric HVAC units. AHRI Certificates can be downloaded from http://www.ahridirectory.org .
	For A/C economizing controls and occupancy-controlled thermostats: Attach a manufacturer's specification sheet for proposed equipment listing both the thermostat and sensor.
APPLI	ICATION PROCESS FOR PAYMENT
-	r project is already complete, please include the following additional documentation for approval and payment. Please a post inspection may be required prior to incentive being processed:
	Material invoice
	 The invoice should include the model number of the equipment installed, quantity, and unit price.
	 The invoice should include the model number of the equipment installed, quantity, and unit price.
	 The invoice should include the model number of the equipment installed, quantity, and unit price. Equipment may be purchased up to one year prior to TRC's receipt of the application. Sufficient documentation must be submitted with the material invoice demonstrating the date of equipment
	 The invoice should include the model number of the equipment installed, quantity, and unit price. Equipment may be purchased up to one year prior to TRC's receipt of the application. Sufficient documentation must be submitted with the material invoice demonstrating the date of equipment purchase, such as a purchase order, if it is not clear on the material invoice.
	 The invoice should include the model number of the equipment installed, quantity, and unit price. Equipment may be purchased up to one year prior to TRC's receipt of the application. Sufficient documentation must be submitted with the material invoice demonstrating the date of equipment purchase, such as a purchase order, if it is not clear on the material invoice. Labor Invoice
	 The invoice should include the model number of the equipment installed, quantity, and unit price. Equipment may be purchased up to one year prior to TRC's receipt of the application. Sufficient documentation must be submitted with the material invoice demonstrating the date of equipment purchase, such as a purchase order, if it is not clear on the material invoice. Labor Invoice Labor/installation price must be listed separately from material price. For projects that were self-installed by the participating customer, a signed letter on participating customer
	 The invoice should include the model number of the equipment installed, quantity, and unit price. Equipment may be purchased up to one year prior to TRC's receipt of the application. Sufficient documentation must be submitted with the material invoice demonstrating the date of equipment purchase, such as a purchase order, if it is not clear on the material invoice. Labor Invoice Labor/installation price must be listed separately from material price. For projects that were self-installed by the participating customer, a signed letter on participating customer letterhead attesting to the start and end dates of the self-installation should be provided.
	 The invoice should include the model number of the equipment installed, quantity, and unit price. Equipment may be purchased up to one year prior to TRC's receipt of the application. Sufficient documentation must be submitted with the material invoice demonstrating the date of equipment purchase, such as a purchase order, if it is not clear on the material invoice. Labor Invoice Labor/installation price must be listed separately from material price. For projects that were self-installed by the participating customer, a signed letter on participating customer letterhead attesting to the start and end dates of the self-installation should be provided. Tax Clearance Certificate obtained from NJ Division of Taxation
	 The invoice should include the model number of the equipment installed, quantity, and unit price. Equipment may be purchased up to one year prior to TRC's receipt of the application. Sufficient documentation must be submitted with the material invoice demonstrating the date of equipment purchase, such as a purchase order, if it is not clear on the material invoice. Labor Invoice Labor/installation price must be listed separately from material price. For projects that were self-installed by the participating customer, a signed letter on participating customer letterhead attesting to the start and end dates of the self-installation should be provided. Tax Clearance Certificate obtained from NJ Division of Taxation Instructions on applying for the certificate can be found at www.njcleanenergy.com/TCC. No incentive will be paid without receipt of a valid Tax Clearance Certificate. Certificates are valid for 180 days and must be valid on the date TRC signs off on the incentive. The name of the customer and tax ID number appearing on the tax clearance must align with the customer name listed on the provided utility bill

The following documentation must be attached to all completed, signed applications: Pre-approval is not required however

FY21_Electric Unitary HVAC 01 3 of 10

from the requirement to submit the Application for Tax Clearance.

ELECTRIC HVAC INCENTIVE RATES AND REQUIREMENTS

- 1. Efficiency requirements to comply with ASHRAE 90.1-2016. New construction project equipment efficiency must exceed ASHRAE 90.1-2016 code requirements. Please reference the table below.
- 2. Incentive calculation is based on the Electric Unitary HVAC equipment capacity at AHRI Certified Net Capacity and Rating at operating conditions; it is not based on the nominal Electric Unitary HVAC equipment capacity. This information will be provided on the certified AHRI documentation.
- 3. For Split Systems, both indoor and outdoor components must be replaced to qualify for an incentive.
- 4. If more than one efficiency qualification is present (ex: EER & IEER), equipment specification must meet or exceed both ratings.

Split and Packaged HVAC Requirements and Incentives

Incentive rate applies to both Existing Buildings and New Construction projects.

Fauinment Type		Cooling Capacity	Tier	Mir	nimum Effic	iency	Incentive
Equipment Type	Measure Code	(Btu/h)	Hei	SEER	EER	IEER	\$/Ton
Unitary HVAC	HVAC1	< 65,000	1	14.0			\$92
Split System	HVAC2		2	16.0			\$105
Unitary HVAC	HVAC3	<65,000	1	14.3			\$92
Single Package	HVAC4		2	16.0			\$103
	HVAC5	≥ 65,000 and < 135,000	1		11.5	13.0	\$73
Unitary HVAC	HVAC6		2		12.5	14.0	\$79
Single Package or Split System	HVAC7	≥ 135,000 and < 240,000	1		11.5	12.4	\$79
	HVAC8		2		12.0	14.0	\$89
	HVAC9	≥ 240,000 and <	1		10.5	11.6	\$79
	HVAC10	760,000	2		11.0	12.5	\$85
Central DX AC	HVAC11	> 760,000	1		9.7	11.2	\$72
	HVAC12	<u>></u> 760,000	2		10.0	12.0	\$77

FY21_Electric Unitary HVAC 01 4 of 10

Heat Pump Requirements and Incentives

• Incentive rate applies to both Existing Buildings and New Construction projects.

Fauinment Tune		Cooling Capacity	Tion		Min	imum E	fficiend	Су	Incentive
Equipment Type	Measure Code	(Btu/h)	Tier	SEER	HSPF	EER	IEER	СОР	\$/ton
Air Source Heat Pump Split	HVAC13	< 6E 000	1	14.3	8.4				\$92
System	HVAC14	< 65,000	2	15.5	8.5				\$100
Air Source Heat Pump Single	HVAC15	< 65,000	1	14.3	8.2				\$92
Package	HVAC16	< 65,000	2	15.5	8.5				\$100
	HVAC17	<u>></u> 65,000 and <	1			11.5	12.2	3.4	\$73
	HVAC18	135,000	2			12.1	12.8	3.5	\$77
Air Source Heat Pump Split	HVAC19	≥ 135,000 and <	1			11.5	11.6	3.3	\$79
System	HVAC20	240,000	2			11.7	15.0	3.3	\$82
	HVAC21	<u>></u> 240,000	1			9.5	10.6	3.2	\$79
	HVAC22		2			9.7	12.0	3.2	\$82
	HVAC23	<u>></u> 65,000 and <	1			11.5	12.2	3.4	\$73
	HVAC24	135,000	2			12.1	12.8	3.5	\$77
Air Source Heat Pump Single	HVAC25	≥ 135,000 and <	1			11.5	11.6	3.3	\$79
Package	HVAC26	240,000	2			11.7	15.0	3.3	\$82
	HVAC27	> 240,000	1			9.5	10.6	3.2	\$79
	HVAC28	<u>></u> 240,000	2			9.7	12.0	3.2	\$82

Equipment Type		Cooling Capacity	Tier	Minimum Efficiency			
Equipment Type	Measure Code	(Btu/h)	1161	EER	COP	Existing	New
	HVAC29	< 17,000	1	12.4	4.3	\$40	\$20
	HVAC30	< 17,000	2	14.0	4.8	\$45	\$23
Water to Air, Water Loop	HVAC31	≥ 17,000 and <	1	13.3	4.3	\$60	\$30
Heat Pump	HVAC32	65,000	2	15.0	4.5	\$68	\$34
	HVAC33	<u>></u> 65,000 and <	1	13.3	4.3	\$80	\$40
	HVAC34	135,000	2	15.0	4.5	\$90	\$45

FY21_Electric Unitary HVAC 01 5 of 10

Packaged Terminal AC and Heat Pump Requirements and Incentives

Incentive rate applies to both Existing Buildings and New Construction projects

		Cooling Capacity	Minimum	Efficiency	Incenti	ve \$/Ton
Equipment Type	Measure Code	(Btu/hr)	EER	СОР	Existing Building	New Construction
	HVAC35	< 7,000	12.0			
	HVAC36	≥ 7,000	12.0			
	HVAC37	≥ 8,000	11.7			
	HVAC38	≥ 9,000	11.4			
Packaged Terminal AC	HVAC39	<u>></u> 10,000	11.1			
	HVAC40	≥ 11,000	10.8			
	HVAC41	≥ 12,000	10.5			
	HVAC42	≥ 13,000	10.2		1	
	HVAC43	≥ 14,000	9.9		\$40/ton	\$20/ton
	HVAC44	≥ 15,000	9.6			
	HVAC45	< 7,000	12.0	3.4	(all cooling	(all cooling
	HVAC46	≥ 7,000	12.0	3.4	capacities)	capacities)
	HVAC47	≥ 8,000	11.7	3.3		
	HVAC48	≥ 9,000	11.4	3.3		
Packaged Terminal Heat	HVAC49	≥ 10,000	11.1	3.2		
Pump	HVAC50	≥ 11,000	10.8	3.2		
	HVAC51	≥ 12,000	10.5	3.1		
	HVAC52	≥ 13,000	10.2	3.1		
	HVAC53	≥ 14,000	9.9	3.0		
	HVAC54	≥ 15,000	9.6	3.0		

FY21_Electric Unitary HVAC 01 6 of 10

		Cooling Capacity		Minimum	Efficiency	Incentiv	e \$/Ton
Equipment Type	Measure Code (Btu/h)		Tier	EER	СОР	Existing	New
		(Bta/11)		LLIN	COF	Building	Construction
	HVAC55	< 65,000	1	10.2		\$45	\$10
	HVAC56	< 65,000	2	10.7		\$47	\$12
Single Packaged Vertical AC -	HVAC57	<u>></u> 65,000 and <	1	10.2		\$45	\$10
SPVAC	HVAC58	135,000	2	10.7		\$47	\$12
	HVAC59	> 135,000 and <	1	10.2		\$45	\$10
	HVAC60	240,000	2	10.7		\$47	\$12
	HVAC61	∠ 6F 000	1	10.2	3.1	\$45	\$10
	HVAC62	< 65,000	2	10.7	3.2	\$47	\$12
Single Packaged Vertical	HVAC63	≥ 65,000 and <	1	10.2	3.1	\$45	\$10
Heat Pump - SPVHP	HVAC64	135,000	2	10.7	3.2	\$47	\$12
	HVAC65	≥ 135,000 and <	1	10.2	3.1	\$45	\$10
	HVAC66	240,000	2	10.7	3.2	\$47	\$12

FY21_Electric Unitary HVAC 01 7 of 10

A/C Economizing Control Requirements

- 1. Incentive is offered for fuel use economizers that control consumption for the A/C unit by optimizing compressor cycles. *This incentive is not intended for air-side economizers*.
- 2. A manufacturer's specification sheet must be submitted with the application for the A/C fuel use economizer controller kit that will be installed on the HVAC unit.
- 3. This incentive is available for both retrofits and new units without a current economizing control installed.
- 4. All A/C economizing controls must be listed by UL or other OSHA approved Nationally Recognized Testing Laboratory (NRTL) in accordance with applicable US standards.

Occupancy Controlled Thermostat Requirements

- 1. New construction hospitality/institutional buildings with more than 50 units are not eligible.
- 2.Thermostat must be controlled via one of the following occupancy-based methods:
 - a. In-room occupancy sensors (single or dual technology)
 - b Key card-based system
 - c. Check-in/check-out system requires door switch
 - d. and in-room occupancy sensor.
- 3.Installation of a new thermostat is required. Retrofit of existing does not qualify for an incentive.

Equipment Type	Measure Code	Controlled Unit Size	Incentive
Occupancy Controlled Thermostats for Hospitality/ Institutional Facilities	HVAC67	Any capacity	\$75 per occupancy- controlled thermostat
A/C Economizing	HVAC68	≤ 5 tons	\$85/control
Control	HVAC69	> 5 tons	\$170/control

FY21_Electric Unitary HVAC 01 8 of 10

SmartStart Buildings Program

Electric Unitary HVAC Application

FY21 February 2, 2021 – June 30, 2021



Company Name (as listed on utility bill)	Formal Legal Company Name					
Company Type	NAICS Code	Federal Tax ID #	State Tax ID # (if differer			
Customer Contact Name	Custor	ner Contact Title	Customer P	hone Number		
Customer Mailing Address	Apt/Suite #	City	State	ZIP		
Type of Project	How did you hear abo	ut the program?	Customer Email Address			
*Retrofit /Existing Building – Energy efficiency ret **New construction- Energy efficiency installation ACILITY AND PROJECT INFORMAT	trofits or replacements in existing ns in New building development (I	facilities	customer 2m			
*Retrofit /Existing Building – Energy efficiency ret **New construction- Energy efficiency installation **CILITY AND PROJECT INFORMAT	trofits or replacements in existing in New building development (I	facilities		ZIP		
*Retrofit /Existing Building – Energy efficiency ret **New construction- Energy efficiency installation ACILITY AND PROJECT INFORMAT Facility Address	trofits or replacements in existing as in New building development (N	facilities New steel, concrete, etc.)				
*Retrofit /Existing Building – Energy efficiency ret **New construction- Energy efficiency installation ACILITY AND PROJECT INFORMAT Facility Address Electric Utility Serving Facility	trofits or replacements in existing as in New building development (N	facilities New steel, concrete, etc.)	State			
*Retrofit /Existing Building – Energy efficiency ret **New construction- Energy efficiency installation	trofits or replacements in existing as in New building development (Non	facilities New steel, concrete, etc.) City Lectric Account Number	State			

ENHANCED INCENTIVES



Buildings owned or operated by Municipalities, Counties, K-12 Public Schools, located in a UEZ or OZ zone, or that constitute Affordable Housing may be eligible for an enhanced incentive. Details are provided on page 2 of this application.

Check the appropriate box(es) to identify which designations, if any, are applicable to your facility:

Owned or operated by a Municipality

Owned or operated by a County

Owned or operated by a K-12 Public School

Located in a UEZ Zone

Located in an OZ Zone Affordable Housing

FY21_Electric Unitary HVAC 01 9 of 10

Contractor Company Name	_	Contact Person Name		Contact Title
Contractor Email Address		Phone Number		
Contractor Mailing Address	Apt/Suite #	City	State	ZIP
PAYEE INFORMATION				
Payee Company Name	(Contact Name	Cor	ntact Title
Payee Email Address		Phone Number		
Incentive Check Mailing Address	Apt/Suite #	City	State	ZIP
Payee Federal Tax ID	 Payee Tax Infor	mation		
ESIP DECLARATION				
 Check this box if an Energy Savings for energy related improvements u 		- ·	SIP allows governm	ent agencies to pay
CUSTOMER AUTHORIZATION AND	SIGNATURE			
agree to the terms and conditions of the Smarts	Start Buildings Program and t	he Specific Program Requirem	ents for this measure.	
agree that this document and all notices and dis electronically and that the electronic signatures a surposes as a handwritten signature.		· · · · · · · · · · · · · · · · · ·		
he information, statements, and documents I ha am aware that if any of them are willfully false,		document are true and accura	te to the best of my	knowledge.
y signing this application, the signatories agree nd to the extent that Act may apply to the work		-	Wage Act, N.J.S.A. 34	l: 11-56.26 et seq., (Act)
applicable, I authorize payment of the incentive	e to the third party listed in t	he Payee Information field of th	nis application.	
Customer Signature		Date		

FY21_Electric Unitary HVAC 01 10 of 10

NJ SmartStart Buildings® Program Terms and Conditions FY21 February 2, 2021 – June 30, 2021

Eligibility

- 1. This application package must be received by the Program Manager on or before *June 30, 2021* in order to be eligible for the fiscal year program (*February 2, 2021 June 30, 2021*) incentives. All participating customers are required to submit the most current application form. All submissions will be reviewed based on the current program requirements and incentive levels approved by the Board of Public Utilities.
- 2. Program Incentives are available to non-residential retail electric and/or gas service participating customers of New Jersey Utilities. A participating customer must pay Societal Benefits Charges under a New Jersey Utility account serving the fuel type (electric or gas) applicable to the specific Energy-Efficient Measure. Participating customers who have not contributed to the Societal Benefits Charge of the applicable New Jersey Utility are not eligible for incentives offered through this program. New Jersey Utilities include Atlantic City Electric, Jersey Central Power & Light, Rockland Electric Company, New Jersey Natural Gas, Elizabethtown Gas, PSE&G and South Jersey Gas.
- 3. Each utility account requires a complete, separate application. Projects for the same utility account and the same technology being done at the same time should be submitted on one application.
- 4. Requirements regarding initial applications, pre-installation approvals, and pre-inspections:
 Before commencing installation or construction of equipment that will be the subject of a SmartStart application, applicants for the following types of projects must receive either a notification of a successful pre-inspection or a waiver of pre-inspection from the Program Manager:
 - Custom measures; and
 - Prescriptive Lighting seeking incentives ≥ \$100,000; and
 - Lighting Controls seeking incentives ≥ \$100,000; and
 - Performance Lighting seeking incentives ≥ \$100,000 (existing buildings only)

Further, and for the avoidance of doubt, the above requirement does not apply to any other SmartStart application types. However, to be eligible for incentives related to those other application types (i.e., types that are not identified in the bullets immediately above), the application must be submitted to the Program Manager within 12 months of equipment purchase. For example, an application for refrigeration equipment may be submitted at any time up to 12 months after equipment purchase and without any pre-inspection or prior approval from the Program Manager. Sufficient documentation must be provided to the Program Manager confirming date of equipment purchase (material invoice, purchase order, etc.). Despite the flexibility provided above, all applicants are nonetheless strongly encouraged to obtain the Program Manager's approval and an incentive commitment prior to commencing installation or construction. Customers implementing projects without the Program Manager's approval do so at their own risk, including, among other things, the risk of having their project deemed ineligible for incentives.

- 5. In order to be eligible for program incentives, a participating customer or an agent (contractor/vendor) authorized by a participating customer, must submit a properly completed application package that is signed by the participating customer. A complete application package should include all documentation listed in the checklist section of the application.
- 6. Applications signed by someone other than the customer require a letter of authorization.
- 7. Project invoices should list both the *labor and material costs separately*. The invoice should include a description of the equipment installed, quantity, and unit price.
- 8. For projects that are self-installed by the participating customer, in addition to the material invoices listing the equipment installed, quantity, and unit price, written documentation from the participating customer must be provided in lieu of a labor invoice attesting to the start and end dates of the self- installation.
- 9. Energy-Efficient Measures must be installed in buildings located within New Jersey Utilities' service territory and designated on the participating customer's incentive application.
- 10. Program Incentives are available for qualified Energy-Efficient Measures as listed and described in the Program materials and incentive applications.
- 11. The participating customer must ultimately own the equipment through an up-front purchase. Equipment procured by participating customers through another program offered by New Jersey's Clean Energy Program or the New Jersey Utilities, as applicable, are not eligible for incentives through this program.
- 12. Incomplete application submissions, applications requiring inspections and unanticipated periods of high volume may cause processing delays.

Incentive Amounts

- 1. Program Incentives will not exceed the lesser of:
 - a. the approved Program incentive amount, or
 - b. the total project cost of the Energy-Efficient Measure.
 - i. Project cost is the expense directly associated with the Energy Efficient Measure, excluding NJ state sales tax.
- 2. Products offered at no direct cost to the participating customer are ineligible.
- 3. Program Incentives are limited to \$500,000 per utility account in a fiscal year.
- 4. Buildings (a) owned or operated by Municipalities, Counties, K-12 Public Schools, (b) located in UEZs or OZs zones or (c) that constitute Affordable Housing may be eligible for an enhanced incentive but are subject to a cap of the applicant's cost for the project (material and labor). Neither Performance Lighting, Prescriptive Lighting, Lighting Controls nor New Construction are eligible for Enhanced Incentives.

FY21 Terms & Conditions 01 1 of 3

Inspections

Pre-Inspection:

See Eligibility, Section 4, above.

Post-Inspection:

- These projects are subject to the Program's random inspection selection process.
- The Program must have reasonable access to participating customer's facility to post inspect the Energy-efficient measures installed under this Program.

Tax Clearance Certificate Requirements

- 1. Participating customers must provide a Tax Clearance Form (entitled "Business Assistance or Incentive Clearance Certificate") for Board of Public Utilities use.
- 2. The name of the customer listed on the certificate must match the participating customer name listed on the utility bill and the application.
- 3. The participating customer tax ID listed on the application must agree with the tax ID listed on the Certificate.
- Certificates are valid for 180 days and must be valid on the date the Program Manager signs off on the incentive.

Deficient Applications

- 1. If an application package is incomplete, information is missing or deemed insufficient, a deficiency notice will be sent to the participating customer requesting additional information via e-mail. The information or documentation requested on the email must be received by the Program Manager within 30 days of the date of the request. If additional deficiencies are still noted, there will be up to two additional notifications issued with the same time frames.
- 2. If a participating customer fails to respond to a deficiency request within 30 days or exceeds the three attempts provided, the application will be rejected. If an application is rejected, participating customers may re-apply under the program incentives and requirements in place at the time of re-application.

Expirations

- Pre-approved projects are given a one-year approval in which the proposed measure is to be installed and operational. When a project
 has expired the participating customer will have 30 days to either submit a request for an extension OR submit final project paperwork.
 If no response is received within 30 days of expiration, the project will be cancelled.
- 2. Extension requests must be in writing from the participating customer and include the circumstances that led to the extension request, and the percentage of the project completed.
- 3. Extension requests may be granted for a period no longer than six (6) months. The Program Manager may provide up to two sixmonthextensions from the original approval expiration date.
- 4. Upon expiration, if the project has not started and the participating customer is still interested in installing the equipment, the existing application will be cancelled and a new application package must be submitted, which will be reviewed under the program incentives and requirements in place at the time ofre-submittal.

Change in participating customer name/payee after pre-approval

- 1. To initiate a change to the participating customer name or payee on an approved application, the following documentation must be provided:
 - a. Documentation from the participating customer authorizing thechange
 - b. A new, fully signed application reflecting the updated participating customer or payee name.
 - c. For name change of the applicant/customer, a utility bill in the name of the new participating customer is required.
 - d. All such changes requests are subject to Program Manager approval.
 - e. Certain requests may require additional information to be submitted as defined by the Program Manager.

Tax Liability

The Program Manager will not be responsible for any tax liability that may be imposed on any participating customer as a result of the payment of Program Incentives. All Participating Customers must supply their federal tax identification number or social security number to the Program Manager on the application form in order to receive a Program Incentive.

Prevailing Wage

Participating projects with a contract at or above current prevailing wage contract threshold amount set pursuant to the New Jersey Prevailing Wage Act (N.J.S.A. 34:11-56.25 et seq.) are required to pay no less than prevailing wage rate to workers employed in the performance of any construction undertaken in connection with Board of Public Utilities financial assistance, or undertaken to fulfill any condition of receiving Board of Public Utilities financial assistance, including the performance of any contract to construct, renovate or otherwise prepare a facility, the operations of which are necessary for the receipt of Board of Public Utilities financial assistance. By submitting an application, or accepting program incentives, applicant agrees to adhere to New Jersey Prevailing Wage requirements, as applicable. By signing the application, the signatories agree to comply with the provisions of the New Jersey Prevailing Wage Act, N.J.S.A. 34: 11-56.25 et seq., (Act), if and to the extent that Act may apply to the work covered by the application. More information can be found at https://www.nj.gov/labor/wagehour/regperm/public_contracts_general.html

Endorsement

The Program Manager and Administrator do not endorse, support or recommend any particular manufacturer, product or system design in promoting this Program.

FY21 Terms & Conditions 01 2 of 3

Warranties

THE PROGRAM MANAGER AND ADMINISTRATOR DO NOT WARRANT THE PERFORMANCE OF INSTALLED EQUIPMENT, AND/OR SERVICES RENDERED AS PART OF THIS PROGRAM, EITHER EXPRESSLY OR IMPLICITLY. NO WARRANTIES OR REPRESENTATIONS OF ANY KIND, WHETHER STATUTORY, EXPRESSED, OR IMPLIED, INCLUDING, WITHOUT LIMITATIONS, WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE REGARDING EQUIPMENT OR SERVICES PROVIDED BY A MANUFACTURER OR VENDOR QUALIFY. CONTACT YOUR VENDOR/SERVICES PROVIDER FOR DETAILS REGARDING PERFORMANCE AND WARRANTIES.

Limitation of Liability

By virtue of participating in this Program, Participating Customers agree to waive any and all claims or damages against the Program Manager or the Administrator, except the receipt of the Program Incentive. Participating Customers agree that the Program Manager's and Administrator's liability, in connection with this Program, is limited to paying the Program Incentive specified. Under no circumstances shall the Program Manager, its representatives, or subcontractors, or the Administrator, be liable for any lost profits, special, punitive, consequential or incidental damages or for any other damages or claims connected with or resulting from participation in this Program. Further, any liability attributed to the Program Manager under this Program shall be individual, and not joint and/or several.

Termination

The New Jersey Board of Public Utilities reserves the right to extend, modify (this includes modification of Program Incentive levels) or terminate this Program without prior or further notice.

Participating Customer's Certification

Participating Customer certifies that he/she purchased and installed the equipment listed in their application at their defined New Jersey location. Participating Customer agrees that all information is true and that he/she has conformed to all of the Program and equipment requirements listed in the application.

Acknowledgement

The applicant gives the Program Manager permission to share records with the New Jersey Board of Public Utilities, and contractors it selects to manage, coordinate or evaluate the New Jersey SmartStart Buildings Program including the release of electric and natural gas utility billing information, as well as make available to the public any and all information required by the Open Public Records Act and/or other applicable laws.

Enhanced Incentive

The Enhanced Incentive does not apply to Prescriptive Lighting, Performance Lighting, Lighting Controls, or New Construction. See details and eligibility requirements on the applications.

Definitions

Energy-Efficient Measures – Any device eligible to receive a Program Incentive payment through the Program. New Jersey Utilities – The regulated electric and/or gas utilities in the State of New Jersey:

 Atlantic City Electric; Jersey Central Power & Light; Rockland Electric Company; New Jersey Natural Gas; Elizabethtown Gas; PSE&G; South Jersey Gas

Administrator – New Jersey Board of Public Utilities, Division of Clean Energy.

Participating Customers – Those non-residential electric and/or gas service customers of the New Jersey Utilities who participate in this Program. Product Installation or Equipment Installation – Installation of the Energy-Efficient Measures.

Program – The Commercial and Industrial Energy-Efficient Construction Program (New Jersey SmartStart Buildings) offered herein by the New Jersey Board of Public Utilities, Office of Clean Energy pursuant to state regulatory approval under the New Jersey Electric Discount and Energy Competition Act, NJSA 48:3-49, etseq.

Program Incentives – Refers to the amount or level of incentive that the Program provides to Participating Customers pursuant to the Program offered herein (see description under "Incentive Amount" heading).

Program Manager - TRC.

FY21 Terms & Conditions 01 3 of 3